



2020 Questionnaire

If any of the following items pertain to you for 2020 (plus your spouse if filing jointly), please check the appropriate box and provide additional information if necessary.

An unchecked box will be considered a 'No' answer.

Yes No

WORK PERFORMED BY AIT SERVICES:

1. Are you a new client? If yes, please complete the "New Client Information" form.
2. Do you want AIT Services to prepare your 2020 U.S. tax return? If yes, please return to us the signed "Consent Form – U.S. Tax Return".
3. Do you need AIT Services to prepare any other U.S. tax returns? If yes, indicate which years and return the signed "Consent Form – U.S. Tax Return":

4. Did you or another firm prepare your 2019 U.S. tax return (or the last U.S. tax return you filed)? If yes, please provide us a copy.
5. Do you want AIT Services to prepare your 2020 Form 114 Report of Foreign Bank and Financial Accounts (FBAR)? If yes, please return to us the signed "Consent Form - FBAR" and provide all information as listed in "2020 Tax Documentation".
6. Do you need AIT Services to prepare any other FBARs for you? If yes, indicate which years and return the signed "Consent Form - FBAR":

7. Will you be filing a Swiss tax return for 2020? If yes, please provide a copy of the complete Swiss tax return to AIT Services if you need credit for Swiss taxes that you pay.
8. Do you wish for AIT Services to coordinate the preparation of your 2020 Swiss tax return? If yes, please return the "Consent Form – Swiss Tax Return Coordination" to us and select on the form which Swiss partner you wish to use.

PERSONAL INFORMATION

9. Did your marital status change during the year? If yes, please explain:

10. If you are married, does your spouse file a separate U.S. tax return? If yes, please indicate whether he/she itemizes deductions: Yes No

Yes No

11. Did your address change? If yes, please provide your new address and the date you moved.
12. Were there any changes in U.S. dependents? If yes, please update the Dependents section of your "2020 Tax Organizer" available in SmartVault.
13. Did you have any U.S. children under age 19 or full-time students under age 24 at the end of 2020, with interest and dividend income in excess of \$1,050, or total income in excess of \$12,400? If yes, your U.S. child may be required to file his/her own U.S. tax return.

INCOME

14. Did you receive income from employment? If yes, please provide the annual salary statement.
15. Do you participate in a Swiss employer pension plan (2nd pillar)? If yes, please provide the annual pension certificate showing your employer's 2020 contribution and the value of the pension per 12/31/2020 or 1/1/2021.
16. Are you self-employed? If yes, please describe your business and provide the bookkeeping.
17. Did you receive any disability or unemployment income? If yes, please provide the annual statements. This income may not be excluded from U.S. taxation with the foreign earned income exclusion.
18. Did you receive retirement or pension income? If yes, please provide the annual statements.
19. Did you pay or receive alimony? If yes, please provide the name of the other party, their social security number and date of divorce or separation agreement. If part of the payment is for child support, please include documentation showing amounts for alimony versus child support.
20. Did you purchase employer stock at a discount in 2020? If yes, please provide purchase documents.
21. Were you awarded compensation in the form of employee stock? If yes, please provide all related documentation.
22. Did you receive restricted stock compensation? If yes, please provide all related documentation.
23. Did you receive stock options?
24. Did you earn any money through the internet? If yes, please explain and provide earnings amount.

Yes No

INVESTMENTS

25. Did you receive interest income (bank accounts)?
26. Did you receive dividend income?
27. Do you have investments in non-U.S. funds (including in your 3rd pillar)? Note that extra taxes are charged on income from these funds and extra forms must be filed with your U.S. tax return.
28. Do you receive annual Form(s) K-1 from any U.S. investments?
29. Did you sell any stocks, bonds, mutual funds or other securities in 2020? If yes, please provide the purchase and sale information.
30. Did you exercise any stock options in 2020? If yes, please provide the sale documents.
31. Did any restricted stock vest during 2020? If yes, please provide us the cost info and vesting date.
32. At any time during 2020, did you receive, sell, send, exchange or otherwise acquire any financial interest in any virtual currency? If yes, please explain and provide details.
33. Did you start a business or farm, purchase rental or royalty property, or acquire an interest in a business, partnership, S corporation, trust, or REMIC? If yes, please provide the business bookkeeping and a copy of the commercial registration (eg, Swiss Handelsregisterauszug).

REAL ESTATE

34. Do you own the home you live in? If yes, what percent ownership do you possess: _____. If you have a substantial mortgage, please provide all the information in the Itemized Deduction section of the "2020 Tax Documentation".
35. Do you own any property that you rent to others? If yes, please provide the rental income and expenses. (New clients should also provide depreciation tables.)
 - a. If you started to rent the property to others in 2020, please provide purchase date and costs and beginning rental date.
 - b. If you use the property for vacation purposes, provide the total rental days and the total days used for personal purposes.
36. Do you own a vacation home that you do NOT rent to others? If yes, what percent ownership do you possess: _____. If you have a substantial mortgage, please provide all the information in the Itemized Deduction section of the "2020 Tax Documentation".

Yes No

37. Did you purchase, sell, or refinance your principal home or second home, or did you take a home equity loan?

RETIREMENT PLANS

38. Do you have one or more Swiss 3rd pillar account(s)? If yes, please provide year-end statement(s) showing total balance and interest earned in each account in 2020. For 3rd pillars with insurance/life insurance companies, please provide payback value (Rückkaufswert) and any income earned at the end of 2020.
39. Did you transfer or rollover any amount from one retirement plan to another retirement plan? If yes, please provide us the details.
40. Did you make contributions to a U.S. traditional or Roth IRA? If yes, please provide us Form 5498 showing the contribution amounts.
41. Did you convert part or all of your U.S. traditional, SEP, or SIMPLE IRAs to a Roth IRA? If yes, please provide the details.

EDUCATION

42. Did you receive a distribution from an Education Savings Account or a Qualified Tuition Program?
43. Did you, your spouse, or a dependent incur any qualified tuition expenses that are required to attend a qualified college, university, or vocational school?

ESTIMATED TAXES

44. Did you pay any 2020 estimated U.S. taxes? If yes, please provide the statements or canceled checks showing the amount paid and date of payment.
45. Did you apply an overpayment of prior U.S. taxes to your 2021 estimated tax (instead of being refunded)?
46. If you have an overpayment of 2020 taxes, do you want the excess applied to your 2021 estimated tax (instead of being refunded)? If you pay taxes each year, we highly recommend you apply overpayments to the following year's tax liability.
47. Do you expect your 2021 taxable income and withholdings to be different from 2020? If yes, please explain.

MISCELLANEOUS

48. Did you give up U.S. citizenship or return your green card in 2020? If yes, please provide the additional documentation as listed in "2020 Tax Documentation".
49. Do you want to allocate \$3 to the Presidential Election Campaign Fund?

Yes No

50. Does your spouse want to allocate \$3 to the Presidential Election Campaign Fund?
51. May the IRS discuss your tax return with AIT Services?
52. Do you use your home as your primary place of business?
53. Did you travel to the U.S. during 2020? If yes, please provide us your *exact arrival and departure dates* even if the travel extends beyond the tax year. For each trip, indicate how many weekdays in the U.S. were work days and how many were personal days.
54. Did you have a medical savings account (MSA), a Medicare + Choice MSA, or acquire an interest in an MSA or a Medicare + Choice MSA because of the death of the account holder? Or, were you a policyholder who received payments under a long-term care (LTC) insurance contract or received any accelerated death benefits from a life insurance policy?
55. Did you make any contributions to U.S. charities or churches?
56. Did you receive any notices from the Internal Revenue Service or a State taxing agency? If yes, please send us copies if you have not done so already.
57. Did you or your spouse make any gifts to an individual worth more than \$15,000 or any gifts to a trust?
58. Did you receive any gifts or inheritances from a non-U.S. person worth more than \$100,000?
59. Do you have more than CHF 175,000 in financial assets (CHF 350,000 if filing jointly) outside the U.S., including your foreign pension plan(s) and retirement account(s)? If yes, please complete and send to us the Excel sheet for the FBAR even if we are not preparing your FBAR.
60. Did you live in the U.S. during part of 2020?
61. Do you need to apply for a Recovery Rebate Credit? You can apply for the credit if you qualified for an Economic Impact Payment from the IRS in 2020, but received too little or no payment.

ELECTRONIC DOCUMENTS

The IRS requires all professional tax preparers to electronically file tax returns unless specifically requested not to do so by a client. If you choose to electronically file your tax return, a copy of your completed tax return will be provided to you for review. After reviewing the return, you must send us a signed copy of Form 8879 e-File Authorization, included with your review copy, which will allow us to electronically file your return with the IRS. If you choose to paper file your tax return, you will be

Yes No

provided your completed tax return to sign and file with the IRS yourself, plus a copy of the return for your records.

- 62. Do you want an electronic copy of your tax return placed in your AIT Services vault for you to review? (You will receive a paper copy for review if you check 'No'.)
- 63. Do you want to receive next year's tax organizer package via email? (You will receive your tax organizer and all other AIT Services documents by post next year if you check 'No'.)
- 64. Do you want to electronically file your tax return? (Please note that there are instances where e-filing is not allowed. If your return is not allowed for e-filing, you will receive a paper return to sign and file.)

Only sign the paragraph below if you DO NOT want to electronically file your tax return:

AIT Services has informed me that if they file the tax return with the IRS on my behalf they are required to electronically file the tax return unless requested by me to file on paper. I do not want to file my return electronically and choose to file my return on paper. AIT Services will not file my paper return with the IRS. AIT Services will provide me with a paper tax return which I will file with the IRS myself. I was not influenced by AIT Services or any member of the company to sign this statement.

Signature of Taxpayer

Date

Signature of Spouse, if filing jointly

Date